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106TH CONGRESS 2D SESSION

# S. 2412

# [Report No. 106- ]

To amend title 49, United States Code, to authorize appropriations for the National Transportation Safety Board for fiscal years 2000, 2001, 2002, and 2003, and for other purposes.

### IN THE SENATE OF THE UNITED STATES

APRIL 12, 2000

Mr. McCain introduced the following bill; which was read twice and referred to the Committee on Commerce, Science, and Transportation

June —, 2000

Reported by Mr. McCain without amendment

# A BILL

To amend title 49, United States Code, to authorize appropriations for the National Transportation Safety Board for fiscal years 2000, 2001, 2002, and 2003, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 SECTION 1. SHORT TITLE; REFERENCES.
- 4 (a) Short Title.—This Act may be cited as the
- 5 "National Transportation Safety Board Amendments Act
- 6 of 2000".

1	(b) References.—Except as otherwise specifically
2	provided, whenever in this Act an amendment or repeal
3	is expressed in terms of an amendment to, or repeal of,
4	a section or other provision of law, the reference shall be
5	considered to be made to a section or other provision of
6	title 49, United States Code.
7	SEC. 2. DEFINITIONS.
8	Section 1101 is amended to read as follows:
9	"§ 1101. Definitions
10	"Section 2101(17a) of title 46 and section 40102(a)
11	of this title apply to this chapter. In this chapter, the term
12	'accident' includes damage to or destruction of vehicles in
13	surface or air transportation or pipelines, regardless of
14	whether the initiating event is accidental or otherwise.".
15	SEC. 3. AUTHORITY TO ENTER INTO AGREEMENTS.
16	(a) In General.—Section 1113 (b)(1)(I) is amend-
17	ed to read as follows:
18	"(I) negotiate and enter into agreements
19	with individuals and private entities and depart-
20	ments, agencies, and instrumentalities of the
21	Government, State and local governments, and
22	governments of foreign countries for the provi-
23	sion of facilities, accident-related services or
24	training in accident investigation techniques,
25	and require that such entities provide appro-

1	priate consideration for the reasonable costs of
2	any facilities, services, or training provided by
3	the Board.".
4	(b) Deposit of Amounts.—
5	(1) Section 1113 (b)(2) is amended—
6	(A) by inserting "as offsetting collections"
7	after "to be credited"; and
8	(B) by adding after "Board." the fol-
9	lowing: "The Board shall maintain an annual
10	record of collections received under paragraph
11	(1)(I) of this subsection.".
12	(2) Section 1114 (a) is amended—
13	(A) by inserting "(1)" before "Except";
14	and
15	(B) by adding at the end thereof the fol-
16	lowing:
17	"(2) The Board shall deposit in the Treasury
18	amounts received under paragraph (1) to be credited
19	to the appropriation of the Board as offsetting col-
20	lections.".
21	(3) Section 1115 (d) is amended by striking "of
22	the 'National Transportation Safety Board, Salaries
23	and Expenses' " and inserting "of the Board".

1	SEC	4	$O_{I}$	ÆRT	TME	PAY.
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- 2 Section 1113 is amended by adding at the end the 3 following: 4 "(g) Overtime Pay.— 5 "(1) In general.—Subject to the require-6 ments of this section and notwithstanding para-7 graphs (1) and (2) of section 5542(a) of title 5, for 8 an employee of the Board whose basic pay is at a 9 rate which equals or exceeds the minimum rate of 10 basic pay for GS-10 of the General Schedule, the 11 Board may establish an overtime hourly rate of pay 12 for the employee with respect to work performed at 13 the scene of an accident (including travel to or from 14 the scene) and other work that is critical to an acci-15 dent investigation in an amount equal to one and 16 one-half times the hourly rate of basic pay of the 17 employee. All of such amount shall be considered to 18 be premium pay. 19 "(2) Limitation on overtime pay to an em-20 PLOYEE.—An employee of the Board may not re-
- ceive overtime pay under paragraph (1), for work performed in a calendar year, in an amount that exceeds 15 percent of the annual rate of basic pay of the employee for such calendar year.
- 25 "(3) Basic pay defined.—In this subsection, 26 the term 'basic pay' includes any applicable locality-

1	based comparability payment under section 5304 of
2	title 5 (or similar provision of law) and any specia
3	rate of pay under section 5305 of title 5 (or similar
4	provision of law).
5	"(4) Annual Report.—Not later than Janu-
6	ary 31, 2001, and annually thereafter, the Board
7	shall transmit to the Senate Committee on Com-
8	merce, Science and Transportation and the House
9	Transportation and Infrastructure a report identi-
10	fying the total amount of overtime payments made
11	under this subsection in the preceding fiscal year."
12	SEC. 5. RECORDERS.
13	(a) Cockpit Video Recordings.—Section 1114(c)
14	is amended—
15	(1) by striking "Voice" in the subsection head-
16	ing;
17	(2) by striking "cockpit voice recorder" in para-
18	graphs (1) and (2) and inserting "cockpit voice or
19	video recorder"; and
20	(3) by inserting "or any written depiction of
21	visual information" after "transcript" in the second
22	sentence of paragraph (1).
23	(b) Surface Vehicle Recordings and Tran-
24	SCRIPTS.—
25	(1) In general.—Section 1114 is amended—

1	(A) by redesignating subsections (d) and
2	(e) as subsections (e) and (f), respectively; and
3	(B) by inserting after subsection (e) the
4	following:
5	"(d) Surface Vehicle Recordings and Tran-
6	SCRIPTS.—
7	"(1) Confidentiality of recordings.—The
8	Board may not disclose publicly any part of a sur-
9	face vehicle voice or video recorder recording or
10	transcript of oral communications by or among driv-
11	ers, train employees, or other operating employees
12	responsible for the movement and direction of the
13	vehicle or vessel, or between such operating employ-
14	ees and company communication centers, related to
15	an accident investigated by the Board. However, the
16	Board shall make public any part of a transcript or
17	any written depiction of visual information that the
18	Board decides is relevant to the accident—
19	"(A) if the Board holds a public hearing
20	on the accident, at the time of the hearing; or
21	"(B) if the Board does not hold a public
22	hearing, at the time a majority of the other fac-
23	tual reports on the accident are placed in the
24	public docket.

1	"(2) References to information in making
2	SAFETY RECOMMENDATIONS.—This subsection does
3	not prevent the Board from referring at any time to
4	voice or video recorder information in making safety
5	recommendations.".
6	(2) Conforming amendment.—The first sen-
7	tence of section 1114(a) is amended by striking
8	"and (e)" and inserting "(d), and (f)".
9	(c) DISCOVERY AND USE OF COCKPIT AND SURFACE
10	VEHICLE RECORDINGS AND TRANSCRIPTS.—
11	(1) In general.—Section 1154 is amended—
12	(A) by striking the section heading and in-
13	serting the following:
14	"§ 1154. Discovery and use of cockpit and surface ve-
	hicle recordings and transcripts;
15	mele recordings and transcripts,
15 16	(B) by striking "cockpit voice recorder"
16	(B) by striking "cockpit voice recorder"
16 17	(B) by striking "cockpit voice recorder" each place it appears in subsection (a) and in-
16 17 18	(B) by striking "cockpit voice recorder" each place it appears in subsection (a) and inserting "cockpit or surface vehicle recorder";
16 17 18 19	<ul><li>(B) by striking "cockpit voice recorder"</li><li>each place it appears in subsection (a) and inserting "cockpit or surface vehicle recorder";</li><li>(C) by striking "section 1114(e)" each</li></ul>
16 17 18 19 20	<ul> <li>(B) by striking "cockpit voice recorder"</li> <li>each place it appears in subsection (a) and inserting "cockpit or surface vehicle recorder";</li> <li>(C) by striking "section 1114(e)" each place it appears in subsection (a) and inserting</li> </ul>
116 117 118 119 220 221	<ul> <li>(B) by striking "cockpit voice recorder" each place it appears in subsection (a) and inserting "cockpit or surface vehicle recorder";</li> <li>(C) by striking "section 1114(e)" each place it appears in subsection (a) and inserting "section 1114(c) or 1114(d)"; and</li> </ul>
116 117 118 119 220 221 222	<ul> <li>(B) by striking "cockpit voice recorder"</li> <li>each place it appears in subsection (a) and inserting "cockpit or surface vehicle recorder";</li> <li>(C) by striking "section 1114(e)" each place it appears in subsection (a) and inserting "section 1114(c) or 1114(d)"; and</li> <li>(D) by adding at the end the following:</li> </ul>

1	"(B) Transcript.—The term 'transcript'
2	includes any written depiction of visual infor-
3	mation obtained from a video recorder.".
4	(2) Conforming amendment.—The chapter
5	analysis for chapter 11 is amended by striking the
6	item relating to section 1154 and inserting the fol-
7	lowing:
"1154.	Discovery and use of cockpit and surface vehicle recordings and transcripts.".
8 <b>SE</b>	C. 6. PRIORITY OF INVESTIGATIONS.
9	(a) In General.—Section 1131(a)(2) is amended—
10	(1) by striking "(2) An investigation" and in-
11	serting
12	"(2)(A) Subject to the requirements of this
13	paragraph, an investigation"; and
14	(2) by adding at the end the following:
15	"(B) If the Attorney General, in consulta-
16	tion with the Chairman of the Board, deter-
17	mines and notifies the Board that cir-
18	cumstances reasonably indicate that the acci-
19	dent may have been caused by an intentional
20	criminal act, the Board shall relinquish inves-
21	tigative priority to the Federal Bureau of Inves-
22	tigation. The relinquishment of investigative
23	priority by the Board shall not otherwise affect

1	the authority of the Board to continue its inves-
2	tigation under this section.
3	"(C) If a Federal law enforcement agency
4	suspects and notified the Board that an acci-
5	dent being investigated by the Board under
6	subparagraph (A), (B), (C), or (D) of para-
7	graph (1) may have been caused by an inten-
8	tional criminal act, the Board, in consultation
9	with the law enforcement agency, shall take
10	necessary actions to ensure that evidence of the
11	criminal act is preserved.".
12	(b) REVISION OF 1977 AGREEMENT.—Not later than
13	1 year the date of the enactment of this Act, the National
14	Transportation Safety Board and the Federal Bureau of
15	Investigation shall revise their 1977 agreement on the in-
16	vestigation of accidents to take into account the amend-
17	ments made by this Act.
18	SEC. 7. PUBLIC AIRCRAFT INVESTIGATION CLARIFICATION.
19	Section 1131(d) is amended by striking "1134(b)(2)"
20	and inserting "1134(a), (b), (d), and (f)".
21	SEC. 8. MEMORANDUM OF UNDERSTANDING.
22	Not later than 1 year after the date of the enactment
23	of this Act, the National Transportation Safety Board and
24	the United States Coast Guard shall revise their Memo-

1	randum of Understanding governing major marine
2	accidents—
3	(1) to redefine or clarify the standards used to
4	determine when the National Transportation Safety
5	Board will lead an investigation; and
6	(2) to develop new standards to determine when
7	a major marine accident involves significant safety
8	issues relating to Coast Guard safety functions.
9	SEC. 9. TRAVEL BUDGETS.
10	The Chairman of the National Transportation Safety
11	Board shall establish annual fiscal year budgets for non-
12	accident-related travel expenditures for Board members,
13	and submit to the Senate Committee on Commerce,
14	Science, and Transportation and to the House of Rep-
15	resentatives Committee on Transportation and Infrastruc-
16	ture an annual report detailing the non-accident-related
17	travel of each Board member. The report shall include sep-
18	arate accounting for foreign and domestic travel, including
19	any personnel or other expenses associated with that trav-
20	el.
21	SEC. 10. CHIEF FINANCIAL OFFICER.
22	Section 1111 is amended—
23	(1) by redesignating subsection (h) as sub-
24	section (i); and

1	(2) by inserting after subsection (g) the fol-
2	lowing:
3	"(h) Chief Financial Officer.—The Chairman
4	shall designate an officer or employee of the Board as the
5	Chief Financial Officer. The Chief Financial Officer
6	shall—
7	"(1) report directly to the Chairman on finan-
8	cial management and budget execution;
9	"(2) direct, manage, and provide policy guid-
10	ance and oversight on financial management and
11	property and inventory control; and
12	"(3) review the fees, rents, and other charges
13	imposed by the Board for services and things of
14	value it provides, and suggest appropriate revisions
15	to those charges to reflect costs incurred by the
16	Board in providing those services and things of
17	value.".
18	SEC. 11. IMPROVED AUDIT PROCEDURES.
19	The National Transportation Safety Board shall de-
20	velop and implement comprehensive internal audit controls
21	for its financial programs based on the findings and rec-
22	ommendations of the private sector audit firm contract en-
23	tered into by the Board in March, 2000. The improved
24	internal audit controls shall, at a minimum, address Board
25	asset management systems, including systems for account-

1	ing management, debt collection, travel, and property and
2	inventory management and control.
3	SEC. 12. AUTHORITY OF THE INSPECTOR GENERAL.
4	(a) In General.—Subchapter III of chapter 11 of
5	subtitle II is amended by adding at the end the following
6	"§ 1137. Authority of the Inspector General
7	"(a) IN GENERAL.—The Inspector General of the
8	Department of Transportation, in accordance with the
9	mission of the Inspector General to prevent and detect
10	fraud and abuse, shall have authority to review only the
11	financial management and business operations of the Na-
12	tional Transportation Safety Board, including internal ac-
13	counting and administrative control systems, to determine
14	compliance with applicable Federal laws, rules, and regu-
15	lations.
16	"(b) Duties.—In carrying out this section, the In-
17	spector General shall—
18	"(1) keep the Chairman of the Board and Con-
19	gress fully and currently informed about problems
20	relating to administration of the internal accounting
21	and administrative control systems of the Board;
22	"(2) issue findings and recommendations for
23	actions to address such problems; and

- 1 "(3) report periodically to Congress on any
- 2 progress made in implementing actions to address
- 3 such problems.
- 4 "(c) Access to Information.—In carrying out this
- 5 section, the Inspector General may exercise authorities
- 6 granted to the Inspector General under subsections (a)
- 7 and (b) of section 6 of the Inspector General Act of 1978
- 8 (5 U.S.C. App.).
- 9 "(d) Reimbursement.—The Inspector General shall
- 10 be reimbursed by the Board for the costs associated with
- 11 carrying out activities under this section.".
- 12 (b) Conforming Amendment.—The subchapter
- 13 analysis for such subchapter is amended by adding at the
- 14 end the following:

"1137. Authority of the Inspector General.".

#### 15 SEC. 13. AUTHORIZATION OF APPROPRIATIONS.

16 Section 1118 is amended to read as follows:

## 17 "§ 1118. Authorization of appropriations

- 18 "(a) In General.—There are authorized to be ap-
- 19 propriated for the purposes of this chapter \$57,000,000
- 20 for fiscal year 2000, \$65,000,000 for fiscal year 2001,
- 21 \$72,000,000 for fiscal year 2002, and \$79,000,000 for fis-
- 22 cal year 2003, such sums to remain available until ex-
- 23 pended.
- 24 "(b) Emergency Fund.—The Board has an emer-
- 25 gency fund of \$2,000,000 available for necessary expenses

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- 1 of the Board, not otherwise provided for, for accident in-
- 2 vestigations. Amounts equal to the amounts expended an-
- 3 nually out of the fund are authorized to be appropriated

4 to the emergency fund.".

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